

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - Sterling Tools Limited
2. Quarter ending - 30-Sep-2021

i. Composition Of Board Of Director

Title (Mr./Ms.)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive / Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of Cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	ANIL AGGARWAL	00027214	AAU PA6313L	C,ED	MD	30-Sep-1994	01-Apr-2021		60	07-May-1958	NA		1	0	1	0	AC, RC	
Mr.	ATUL AGGARWAL	00125825	AAU PA6243R	ED		30-Sep-1994	01-Apr-2021		60	26-Mar-1964	NA		2	1	1	0	SC, RC	
Mr.	CHHOTU RAM SHARMA	00522678	AAM PS1207P	ID		28-Jun-2006	01-Apr-2019		60	13-Nov-1942	Yes	29-Mar-2019	1	1	1	1	AC, SC, NRC	
Mr.	TRILOKI NATH KAPOOR	00017692	AFH PK2874E	ID		31-Aug-1999	01-Apr-2019		60	02-Jun-1932	Yes	29-Mar-2019	2	2	0	0		
Ms.	MALINI SUD	01297943	AAQ PS9829M	ID		15-Sep-2014	01-Apr-2019		60	06-Mar-1956	NA		2	2	0	0		
Mr.	JAIDEP WADHWAN	00410019	AAC PW4054A	NED		07-Feb-2019	27-Sep-2019			20-Feb-1964	NA		1	0	0	0		
Mr.	RAHUL KABIR BHANDARI	00019495	AAK PB9688F	ID		02-Aug-2019	27-Sep-2019		60	15-May-1962	NA		1	1	0	0		
Mr.	AKHIL AGGARWAL	01681666	AGR PA9376N	NED		02-Aug-2019	27-Sep-2019			03-Feb-1986	NA		1	0	0	0		
Mr.	SHAIL ENDRASWARUP	00167799	AAA PS7127G	ID		17-Dec-2019	17-Dec-2019		60	20-Nov-1944	Yes	30-Jan-2020	6	6	3	1	AC, SC, NRC	
Mr.	RAKESH BATRA	06511494	AKT PB0803D	ID		10-Nov-2020	10-Nov-2020		60	25-Nov-1955	NA		2	2	1	0	AC, RC, NRC	

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	CHHOTU RAM SHARMA	ID	Chairperson	01-Apr-2019	
2	RAKESH BATRA	ID	Member	10-Nov-2020	
3	ANIL AGGARWAL	C, ED	Member	01-Apr-2021	
4	SHAIKENDRA SWARUP	ID	Member	17-Dec-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	CHHOTU RAM SHARMA	ID	Member	01-Apr-2019	
2	SHAILENDRA SWARUP	ID	Chairperson	17-Dec-2019	
3	ATUL AGGARWAL	ED	Member	01-Apr-2021	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	ANIL AGGARWAL	C,ED	Chairperson	01-Apr-2021	
2	ATUL AGGARWAL	ED	Member	01-Apr-2021	
3	RAKESH BATRA	ID	Member	10-Nov-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	CHHOTU RAM SHARMA	ID	Chairperson	01-Apr-2019	
2	SHAILENDRA SWARUP	ID	Member	17-Dec-2019	
3	RAKESH BATRA	ID	Member	10-Nov-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
12-Jun-2021	11-Aug-2021	Yes	9	5

Company Remarks	
Maximum gap between any two consecutive (in number of days)	59

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	12-Jun-2021		Yes		
Audit Committee		11-Aug-2021	Yes	4	3

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	59

v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **VAISHALI SINGH**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	No	Mr. Shailendra Swarup, Chairperson could not attend the AGM because he lost his wife on 14.09.2021 but he authorised MR. Chhotu Ram Sharma to attend the AGM on his behalf as Chairperson.
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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Name : **VAISHALI SINGH**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE IV

%symbol%	%Company Name%	%quarter Ended%
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(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	0	50000000	0	0	0	50000000	0

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	0	0	0

Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	Guarantee	200000000	200000000
KMPs or any other entity controlled by them	0	0	0

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company

Company Remarks in case of non-compliant status

All loan, Corporate Guarantee have been given in compliance with the applicable provisions of Companies Act, 2013 and with full disclosures to Audit Committee, Board of Directors and to the stock exchanges.

Name: PANKAJ GUPTA
Designation: Chief Financial Officer
Place: NEW DELHI
Date: 13-Oct-2021